These are part of the statutes and rules applying to licensure. For full statutes and rules, see the "AZ Statutes & Rules" link on the home page or click on "Arizona Statutes and Rules book for Exam" link on Licensing page.

# 32-1722. Qualifications of applicant; applications

- A. A person of good moral character who desires to engage in the practice of the profession of optometry shall file with the board not less than thirty days before the date on which an examination is to be held a verified application with the required application fee that includes:
  - 1. The applicant's name, age and address.
  - 2. Documentation of graduation from a university or college that teaches the profession of optometry and that is accredited by a nationally accepted accrediting body on optometric education.
  - 3. Documentation of satisfactory completion of an equivalent course of study that is approved by the board in didactic education, pharmacology and clinical training in the examination, diagnosis and treatment of conditions of the human eye and its adnexa that either:
    - (a) Meets the contemporary educational requirements at colleges of optometry in the United States.
    - (b) Totals at least one hundred twenty hours.
  - 4. Documentation of the successful passage of a written examination as prescribed by the board.
  - 5. Background information on a form prescribed by the attorney general for the purpose of conducting an investigation into the existence of prior arrests and convictions.
  - 6. Disclosure of any investigation conducted or pending by an optometric regulatory board in another jurisdiction in the United States.
- B. On receipt of an application in proper form and containing the information prescribed in subsection A of this section, the board may investigate the applicant's character, ability and experience.
- C. For the purposes of an investigation that is conducted pursuant to subsection B of this section, the board may subpoena witnesses, administer oaths and take testimony with respect to the character of the applicant or to any matter affecting the application at a hearing held after sufficient notice has been given.
- D. If the board finds that the applicant has passed the examination provided for under section 32-1724 and that the applicant's character, ability and experience are satisfactory, the board shall issue a license.

## 32-1723. Licensure by endorsement

The board shall waive the written examination requirements of this chapter if all of the following are true:

- 1. The applicant submits a license or a certified copy of a license to practice optometry issued by the regulatory board of another jurisdiction of the United States that has licensure requirements that the board determines meet or exceed the requirements of this chapter.
- 2. The license of the applicant has not been suspended or revoked by any other licensing jurisdiction of the United States for any cause that is a ground for suspension or revocation of a license under this chapter.
- 3. The applicant has been engaged in the practice of the profession of optometry continuously in the other licensing jurisdiction for not less than four of the five years immediately preceding the application or in the military.
- 4. The information provided by national data banks designated by the board has successfully verified the applicant.
- 5. The applicant meets the requirements of section 32-1722 concerning good moral character.

#### 32-1725. Issuance of license

Each applicant who satisfactorily passes the examination shall upon payment of the issuance fee be issued a license under the seal and signatures of the members of the board. Failure to pay the issuance fee within sixty days necessitates the retaking of the examination.

## 32-1727. Fees

- A. The following fees shall be paid to the board:
  - 1. Filing an application for examination, one hundred fifty dollars.
  - 2. License issuance fee as established by the board.
  - 3. Renewal of a license to practice the profession of optometry as established by the board.
  - 4. Late renewal of a license as established by the board.
  - 5. Application for a license by endorsement, three hundred dollars.
  - 6. Duplicate license fee, thirty dollars.
  - 7. Certificates of special qualification, twenty dollars.
  - 8. Duplicate certificates of special qualification, twenty dollars.
  - 9. Optometry statute pamphlet fee, five dollars.
- B. Fees are not refundable.

#### **ARTICLE 2. LICENSING PROVISIONS**

## **R4-21-201.** Licensure by Examination

- **A.** An individual is eligible to apply for licensure by examination if the individual graduated from an accredited optometry program but is not eligible for licensure by endorsement under R4-21-202(A).
- **B.** To apply for licensure by examination, an individual who is eligible under subsection (A) shall submit an application form, which is available from the Board, and provide the following information about the applicant:
  - 1. Full legal name;
  - 2. Other names ever used, if any, and if applicable, a copy of the court document or marriage license resulting in a name change;
  - 3. Social Security number;
  - 4. Mailing address;
  - 5. E-mail address, if any;
  - 6. Residential, business, and mobile telephone numbers;
  - 7. Date and place of birth;
  - 8. Residential addresses for the past five years;
  - 9. Educational background including the name and address of, dates of attendance at, and date of graduation from:
    - a. An accredited optometry program,
    - b. A pre-optometric school or undergraduate educational institution,
    - c. High school, and
    - d. Other post-secondary schools attended;
  - 10. Experience in the practice of the profession of optometry including the business form and location of the practice;
  - 11. Work experience or occupation, other than the practice of the profession of optometry, for the past five years;
  - 12. List of the states in which the applicant is professionally licensed including the name of the state, type of professional license, date issued, and expiration date;
  - 13. List of the states in which the applicant was but no longer is professionally licensed including the name of the state, type of professional license, date issued, and reason the license is no longer valid;
  - 14. Statement of whether the applicant:
    - a. Has ever been denied the right to take an examination for optometric licensure by any state or jurisdiction and if so, the name of the state or jurisdiction, date, and reason for the denial;

- b. Has ever been denied an optometric license or renewal in any state or jurisdiction and if so, the name of the state or jurisdiction, date, and reason for the denial;
- c. Has ever had a license or certificate of registration to practice the profession of optometry suspended or revoked by any optometric licensing agency and if so, the name of the optometric licensing agency, date, reason for the suspension or revocation, and current status;
- d. Has ever had an investigation conducted or has an investigation pending by an optometric regulatory agency of any state or jurisdiction and if so, name of the optometric regulatory agency and state or jurisdiction, date, reason for the investigation, and current status;
- e. Has ever had a disciplinary action instituted against the applicant by any optometric licensing agency and if so, the name of the optometric licensing agency, date, nature of the disciplinary action, reason for the disciplinary action, and current status;
- f. Has ever been convicted of, pled guilty or no contest to, or entered into diversion in lieu of prosecution for any criminal offense in any jurisdiction of the United States or foreign country and if so, name of the jurisdiction, date, offense charged, offense for which convicted, pled guilty, or no contest, and current status;
- g. Has been addicted to narcotic substances or habitually abused alcohol within the last 10 years and if so, date, steps taken to address the addiction or abuse, and current status; and
- h. Is presently addicted to narcotic substances or habitually abuses alcohol and if so, why the addiction or abuse does not amount to unprofessional conduct; and
- 15. Dated and sworn signature of the applicant verifying that the information provided is true to the best of the applicant's knowledge, information, and belief.
- **C.** In addition to submitting the application form required under subsection (B), an applicant shall submit or have submitted on the applicant's behalf:
  - 1. A two inch by three inch passport-quality photograph of the applicant's head and shoulders that is taken within six months of the date of application and signed by the applicant in ink across the lower portion of the front side;
  - 2. A full set of readable fingerprints taken by a criminal justice agency;
  - 3. A cashier's check or money order payable to the Arizona Department of Public Safety in the amount required to obtain a state and federal criminal records check;
  - 4. The application fee required under A.R.S. §32-1727;
  - 5. A copy of the scores obtained by the applicant on Parts I, II, and III of the National Board of Examiners in Optometry examination less than five years before the date of the application;
  - 6. A passing score obtained by the applicant on the jurisprudence examination described at R4-21-203;

- 7. An official transcript submitted directly to the Board by the educational institution with an accredited optometry program from which the applicant graduated with a degree in optometry;
- 8. An official transcript submitted directly to the Board by the educational institution at which the applicant took pre-optometry or undergraduate courses;
- 9. A self-query from the National Practitioner Data Bank-Healthcare Integrity and Protection Data Bank made within three months before the date of application; and
- 10. A copy of the front and back of the cardiopulmonary resuscitation card issued to the applicant or other written documentation of current certification in cardiopulmonary resuscitation.

## **R4-21-202.** Licensure by Endorsement

- **A.** An individual is eligible to apply for licensure by endorsement if the individual:
  - 1. Graduated from an accredited optometry program;
  - 2. Is licensed to practice the profession of optometry in another state that has licensing requirements that the Board determines meet or exceed Arizona's requirements;
  - 3. Has engaged in the practice of the profession of optometry continuously in the other state or military for at least four of the five years before the date of application; and
  - 4. Has not had a license to practice the profession of optometry suspended or revoked by any licensing jurisdiction for a cause that is a ground for suspension or revocation of a license in Arizona.
- **B.** To apply for licensure by endorsement, an individual who is eligible under subsection (A) shall submit the application form described in R4-21-201(B).
- **C.** In addition to complying with subsection (B), an applicant for licensure by endorsement shall submit or have submitted on the applicant's behalf:
  - 1. The materials required under R4-21-201(C)(1) through (C)(4) and (C)(6) through (C)(10);
- 2. A state board certification and license verification form, which is submitted directly to the Board from the state that issued the license on which the applicant's endorsement application is based, indicating:
  - a. Name and title of the individual completing the verification form;
  - b. Number of the applicant's optometry license in the state;
  - c. Date on which the applicant was issued an optometry license by the state;
  - d. A statement of whether the applicant:
    - i. Has been licensed in the state for at least four of the last five years;
    - ii. Is certified to use topical diagnostic, topical therapeutic, or oral pharmaceutical agents and if so, the date on which the certification was obtained;
    - iii. Is currently in good standing in the state;
    - iv. Is known to be licensed to practice the profession of optometry in another state and if so, the name of the other state;

- v. Has been subject to any disciplinary action and if so, the date, nature of, and reason for the disciplinary action; and
- vi. Is subject to any pending investigation or complaint and if so, the nature of the investigation or complaint; and
- e. The dated, notarized signature of the individual completing the verification form; and
- 3. A letter on official letterhead, in substantially the form provided by the Board, from a representative of the accredited optometry program at the educational institution from which the applicant graduated, providing details that demonstrate the applicant's education meets the standards at R4-21-207.

# **R4-21-203.** Jurisprudence Examination

- **A.** To be licensed, an applicant shall obtain a score of at least 75% on a jurisprudence examination that assesses knowledge of Arizona's statutes and rules relating to optometry.
- **B.** An applicant may take the jurisprudence examination at any time after submitting to the Board the application form required under R4-21-201(B) or R4-21-202(B).
- **C.** An applicant who fails the jurisprudence examination may retake the examination one time within six months from the date of the original examination.
- **D.** The Board shall further consider an applicant who fails the jurisprudence examination a second time only if the applicant:
  - 1. Waits at least six months from the date of the second taking of the jurisprudence examination;
  - 2. Submits a new application form under R4-21-201(B) or R4-21-202(B);
  - 3. Submits a full set of readable fingerprints taken by a criminal justice agency and a cashier's check or money order payable to the Arizona Department of Public Safety in the amount required to obtain a state and federal criminal records check;
  - 4. Submits a two inch by three inch passport-quality photograph of the applicant's head and shoulders that is taken within six months of the date of the new application and signed by the applicant in ink across the lower portion of the front side;
  - 5. Submits a self-query from the National Practitioner Data Bank-Healthcare Integrity and Protection Data Bank made within three months before the date of the new application; and
  - 6. Submits the application fee required under A.R.S. §32-1727.

## R4-21-204. License Issuance

- **A.** When the Board determines that an applicant meets all of the substantive criteria required by statute and this Chapter, the Board shall send the applicant a written notice informing the applicant that the Board shall issue the applicant a license when the applicant pays the license issuance fee required under R4-21-102(B).
- **B.** Under A.R.S. §32-1725, if an applicant fails to pay the license issuance fee within 60 days after receiving notice under subsection (A), the Board considers the application withdrawn. An individual whose

application is withdrawn can be further considered for licensing only by complying with R4-21-201 or R4-21-202.

# R4-21-208. Certificate of Special Qualification; Pharmaceutical Agent Number

- **A.** The Board shall issue a certificate of special qualification that allows a licensee to prescribe, administer, and dispense topical diagnostic and therapeutic pharmaceutical agents or only topical diagnostic pharmaceutical agents if the licensee:
  - 1. Was licensed by the Board before July 1, 2000;
  - 2. Held a comparable certificate of special qualification issued by the Board before July 1, 2000; and
  - 3. Pays the fee prescribed at A.R.S. §32-1727.
- **B.** The Board shall issue a certificate of special qualification that indicates a licensee shall not prescribe, administer, or dispense a pharmaceutical agent if the licensee:
  - 1. Was licensed by the Board before July 1, 2000,
  - 2. Did not hold a certificate of special qualification issued by the Board before July 1, 2000, and
  - 3. Pays the fee prescribed at A.R.S. § 32-1727.
- C. A licensee who holds a certificate of special qualification issued under subsection (A) or (B) may apply to the Board for a pharmaceutical agent number that indicates the licensee is authorized to prescribe, administer, or dispense topical diagnostic, topical therapeutic, and oral pharmaceutical agents. To apply for a pharmaceutical agent number, a licensee who holds a certificate of special qualification issued under subsection (A) or (B) shall:
  - 1. Submit to the Board an application, using a form that is available from the Board, and provide the following information:
    - a. Name of licensee;
    - b. Social Security number;
    - c. Mailing address;
    - d. Telephone and fax numbers at the address listed under subsection (C)(1)(c);
    - e. License number;
    - f. Number of certificate of special qualification for diagnostic pharmaceutical agents, if any;
    - g. Number of certificate of special qualification for therapeutic pharmaceutical agents, if any;
    - h. Residential address;
    - i. Telephone and fax numbers at the address listed under subsection (C)(1)(h);
    - j. Name of the course of study approved under R4-21-207 that the licensee completed and date of completion; and
    - k. Applicant's dated signature affirming that the information provided is true and correct; and

- 2. Have a representative of the educational institution at which the licensee completed the approved course of study submit to the Board evidence that the course of study is approved and the licensee completed all course requirements; and
- 3. Submit written documentation that the licensee is currently certified in cardiopulmonary resuscitation.
- **D.** The Board shall issue a pharmaceutical agent number that indicates a licensee is authorized to prescribe, administer, or dispense topical diagnostic, topical therapeutic, and oral pharmaceutical agents if the licensee is initially licensed by the Board under R4-21-201 or R4-21-202 after June 30, 2000.

# **R4-21-209.** Continuing Education Requirement

- **A.** A licensee shall complete 32 hours of approved continuing education during each biennial license renewal period. The licensee shall ensure that in each biennial license renewal period:
  - At least four hours of the approved continuing education is in the area of diagnosis, treatment, and management of disease of the human eye and its adnexa and pharmaceutical use appropriate to the authority held by the licensee;
  - 2. No more than 12 hours of the approved continuing education are obtained through self-instructed media;
  - 3. No more than four hours of the approved continuing education are in the area of practice management;
  - 4. No more than one hour of approved continuing education is claimed for each day of instruction in a course of study approved under R4-21-207 to a maximum of four hours; and
  - 5. No more than four hours of approved continuing education are claimed for publishing or presenting a paper, report, or book that deals with current developments, skills, procedures, or treatments related to the practice of the profession of optometry.
- **B.** If a licensee obtains more than 32 hours of approved continuing education during a biennial renewal period, the licensee shall not claim the extra hours of approved continuing education during a subsequent biennial renewal period.
- **C.** During the biennial renewal period in which a licensee is first licensed, the licensee shall obtain a prorated number of hours of approved continuing education for each month remaining in the biennial renewal period.
- **D.** A licensee shall not claim as approved continuing education any educational program or course completed before being licensed.
- **E.** A licensee shall obtain a certificate or other evidence of attendance from the provider of each approved continuing education attended that includes the following:
  - 1. Name of the licensee,
  - 2. License number of the licensee,
  - 3. Name of the approved continuing education,
  - 4. Name of the continuing education provider,

- 5. Date, time, and location of the approved continuing education, and
- 6. Number of hours of approved continuing education and number of hours relating to practice management.
- **F.** A licensee shall maintain the certificates or other evidence of attendance described in subsection (E) for three years from the date of attendance.
- **G**. A licensee shall submit to the Board a copy of the certificates or other evidence of attendance obtained during a biennial renewal period if subject to an audit by the Board under R4-21-211.